Paperwork Reduction Act Notice

ERISA section 4010

PBGC needs this information, which is required to be submitted under part 4010, to enable it (1) to detect and monitor financial problems with the contributing sponsors that maintain severely underfunded pension plans and their controlled group members, (2) to respond quickly when it learns that a controlled group with severely underfunded pension plans intends to engage in a transaction that may significantly reduce the assets available to pay plan liabilities, and (3) to take action to protect participant and premium-payer interests.

The information provided to PBGC under part 4010 is protected from disclosure as provided in 29 CFR § 4010.13. That section provides that in accordance with 29 CFR § 4901.21(a) (part of PBGC's regulation on Examination and Copying of PBGC Records) and section 4010(c) of ERISA, any information or documentary material that is not publicly available and is submitted to PBGC pursuant to part 4010 shall not be made public, except as may be relevant to any administrative or judicial action or proceeding or for disclosures to either body of Congress or to any duly authorized committee or subcommittee of the Congress.

PBGC estimates the average burden of the collection of information is 1 hour and $22,971. These are estimates and the actual time will vary depending on the circumstances of a given filing. If you have any comments concerning the accuracy of these burden estimates or suggestions for making it simpler to submit the information, please send your comments to the Pension Benefit Guaranty Corporation, Office of the General Counsel, 445 12th Street SW, Washington, DC 20024-2101.

This collection of information has been approved by the Office of Management and Budget (OMB) under control number 1212-0049 (expires March 31, 2023). Under the Paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Reportable Events

Post-Event Notice of Reportable Events (Form 10). PBGC needs this information, which is required to be filed under section 4043 of ERISA and 29 CFR part 4043, Subparts A and B, so that it can take action to protect participants and the termination insurance program in appropriate cases.

Advance Notice of Reportable Events (Form 10-Advance). PBGC needs this information, which is required to be filed under section 4043 of ERISA and 29 CFR part 4043, Subparts
A and C, so that it can take action to protect participants and the termination insurance program in appropriate cases.

Information provided to PBGC under section 4043 of ERISA is confidential to the extent provided by the Freedom of Information Act, the Privacy Act, and section 4043(f) of ERISA.

PBGC estimates the average burden of the collection of information is 3 hours and $745. If you have any comments concerning the accuracy of this estimate or suggestions for improving this form, please send your comments to the Pension Benefit Guaranty Corporation, Regulatory Affairs Group, Office of the General Counsel, 445 12th Street SW, Washington, DC 20024-2101. This collection of information has been approved by the Office of Management and Budget (OMB) under control number 1212-0013 (expires July 31, 2024). An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Notice of Failure to Make Required Contributions Over $1 Million (Form 200)

PBGC needs this information, which is required to be filed under section 303(k)(4) of ERISA and section 430(k)(4) of the Internal Revenue Code (Code) and 29 CFR part 4043, Subparts A and D, to make decisions regarding enforcement of a lien imposed by section 303(k)(1) of ERISA and section 430(k)(1) of the Code. Information provided to PBGC is confidential to the extent provided in the Freedom of Information Act and the Privacy Act.

PBGC estimates the average burden of the collection of information is 1 hour and $725. These figures are estimates that will vary depending on the nature and organizational structure of persons liable for plan contributions (in particular, whether the plan's contributing sponsor is a member of a controlled group and, if so, the size of that group) and on the funding history of the plan.

If you have any comments concerning the accuracy of these time estimates or suggestions for improving the form or these instructions, please send your comments to the Pension Benefit Guaranty Corporation, Regulatory Affairs Group, Office of the General Counsel, 445 12th Street SW, Washington, DC 20024-2101.

This collection of information has been approved by the Office of Management and Budget (OMB) under control number 1212-0041 (expires July 31, 2024). An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Duties of Plan Sponsor Following Mass Withdrawal (29 CFR part 4281)

The collection of information under 29 CFR part 4281 has been approved by the Office of Management and Budget (OMB) under control number 1212-0032. Under the Paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.
number. For more information, please see the e-filing instructions for terminated, insolvent multiemployer plans.

**Termination of Multiemployer Plans (29 CFR part 4041A)**

The collection of information under 29 CFR part 4041A has been approved by the Office of Management and Budget (OMB) under control number 1212-0020. Under the Paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. For more information, please see the e-filing instructions for terminated, insolvent multiemployer plans.

**Duties of Plan Sponsor of an Insolvent Plan (29 CFR part 4245)**

The collection of information under 29 CFR part 4245 has been approved by the Office of Management and Budget (OMB) under control number 1212-0033. Under the Paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. For more information, please see the e-filing instructions for terminated, insolvent multiemployer plans.