PENSION BENEFIT GUARANTY CORPORATION

Notice of Availability of the Pension Benefit Guaranty Corporation Information Quality Guidelines

AGENCY: Pension Benefit Guaranty Corporation.

ACTION: Notice.

SUMMARY: Notice is hereby given that, pursuant to the requirements of the Office of Management and Budget’s (OMB’s) Guidelines for Ensuring and Maximizing the Quality, Objectivity, Utility and Integrity of Information Disseminated by Federal Agencies, the Pension Benefit Guaranty Corporation (PBGC) has made available its Information Quality Guidelines on its Web site at http://www.pbgc.gov.

FOR FURTHER INFORMATION CONTACT: Harold J. Ashner, Assistant General Counsel, or James L. Beller, Attorney, Office of the General Counsel, PBGC, 1200 K Street, NW., Washington, DC 20005–4026; 202–326–4024. (TTY/TDD users may call the Federal relay service toll-free at 1–800–877–8339 and ask to be connected to 202–326–4024.)

SUPPLEMENTARY INFORMATION: Section 515 of the Treasury and General Government Appropriations Act for Fiscal Year 2001 (Pub. L. 106–554) directs OMB to issue government-wide guidelines that “provide policy and procedural guidance to Federal agencies for ensuring and maximizing the quality, objectivity, utility and integrity of information (including statistical information) disseminated by Federal agencies.” The OMB guidelines require each agency to prepare a report providing the agency’s information quality guidelines. Each agency is required to publish a notice of availability of this report in the Federal Register and to post this report on its web site by October 1, 2002. The PBGC has posted its Information Quality Guidelines on its Web site at http://www.pbgc.gov.

Prior to submitting this report for OMB review, the PBGC posted the report in draft form on its web site for public comment. The Center for Regulatory Effectiveness (the CRE) prepared generic comments applicable to all federal agencies. The PBGC considered the CRE’s comments and made appropriate changes to the guidelines. The PBGC received no other comments before submitting the guidelines to OMB. OMB suggested some modifications, which are reflected in the PBGC’s final guidelines.

Issued in Washington, DC, on this 26th day of September 2002.

Steven A. Kandarian,
Executive Director, Pension Benefit Guaranty Corporation.

SECURITIES AND EXCHANGE COMMISSION


Self-Regulatory Organizations; Order Granting Approval of Proposed Rule Change by the National Association of Securities Dealers, Inc. To Establish a New Registration Category for Proctors of In-Firm Delivery of the Regulatory Element of the Continuing Education Requirements

September 24, 2002.

On August 8, 2002, the National Association of Securities Dealers, Inc. (“NASD”) filed with the Securities and Exchange Commission (“Commission”), pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act") and Rule 19b–4 thereunder, a proposed rule change to establish NASD Rule 1043, a new registration category for proctors of in-firm delivery of the Regulatory Element of the NASD’s continuing education requirements. The proposed rule change was published for notice and comment in the Federal Register on August 21, 2002. The Commission received no comments on the proposed rule change.

The Commission finds that the proposed rule change is consistent with the requirements of the Act and the rules and regulations thereunder applicable to a national securities association and, in particular, the requirements of Section 15A(b)(6), which requires among other things that the NASD’s rules be designed to prevent fraudulent and manipulative acts and practices, to promote just and equitable principles of trade, and, in general, to protect investors and the public interest. The Commission believes that the proposed rule change may result in more efficient delivery of the NASD’s continuing education requirements, while maintaining the integrity of the continuing education program.

It is therefore ordered, pursuant to Section 19(b)(2) of the Act, that the proposed rule change (SR–NASD–2002–110) be, and it hereby is, approved.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.

Margaret H. McFarland,
Deputy Secretary.

SECURITIES AND EXCHANGE COMMISSION


Self-Regulatory Organizations; Notice of Filing and Immediate Effectiveness of Proposed Rule Change by the National Association of Securities Dealers, Inc. To Correct Inaccurate Language in the Text of NASD Rules

September 25, 2002.

Pursuant to section 19(b)(1) of the Securities Exchange Act of 1934

4 In approving this proposed rule change, the Commission has considered the proposed rule’s impact on efficiency, competition, and capital formation. 15 U.S.C. 78c(f).