

LOCK-IN APPLICATION

Part I. Plan Information

UFCW Local 2013 Pension Fund

Plan name

Actuary

Role of filer

Kathy Swan, FSA, EA, MAAA

Name of filer

8300 Greensboro Drive, Suite 800

Street address of filer

kswan@cheiron.us

Email address of filer

McLean, VA 22102

City, State, ZIP Code of filer

703-893-1456 (ext. 1056)

Telephone number of filer

1	3	1	9	7	5	9	1	0	0	0	1
---	---	---	---	---	---	---	---	---	---	---	---

EIN

PN

Board of Trustees UFCW Local 2013 Pension Fund

Plan sponsor name

9235 4th Avenue

Street address of plan sponsor

jdowling@ufcw2013.org

Email address of plan sponsor

Brooklyn, NY 11209

City, State, ZIP Code of plan sponsor

(718) 745-3487

Telephone number of plan sponsor

Kathy Swan, FSA, EA, MAAA

Plan sponsor's authorized representative's name (if any;
if none, then leave these spaces blank)

8300 Greensboro Drive, Suite 800

Street address of authorized representative

kswan@cheiron.us

Email address of authorized representative

McLean, VA 22102

City, State, ZIP Code of authorized representative

703-893-1456 (ext. 1056)

Telephone number of authorized
representative

☐

Check this box if this filing is a revised lock-in
application. (If not, leave the box unchecked.)

LOCK-IN APPLICATION

Part IV. Statement of Intent

The part must be signed and dated by: (1) a trustee who is a current member of the board of trustees and authorized to sign on behalf of the board of trustees, or (2) another authorized representative of the plan sponsor. Part III and Part IV may but need not be signed by the same individual.

By submitting this lock-in application, I am submitting an initial application for SFA for: (insert plan name) UFCW Local 2013 Pension Fund,
with the intent to lock in the plan's base data.


Signed _____ Date 6/24/25
LOUIS MARK CAROTENUTO President
Printed name of signatory Title

PAPERWORK REDUCTION ACT NOTICE

This section provides information on the time and cost estimates for preparing and filing the lock-in application. If you have any comments concerning the accuracy of these estimates or suggestions for making it simpler to submit the information, please send your comments to the Pension Benefit Guaranty Corporation, Office of the General Counsel, 445 12th Street, SW, Washington, DC 20024-2101.

Information filed with PBGC in an application for special financial assistance (SFA) is confidential only to the extent provided under the Privacy Act. PBGC may, in its sole discretion, post an application for SFA and any documents and information filed for the application on its website at www.pbgc.gov, or otherwise publicly disclose the application, documents, and information, except information that is confidential under the Privacy Act.

PBGC will share with the U.S. Department of Labor and the Treasury Department (collectively, the Agencies) a plan's application, including any documents and information filed with PBGC, to enable the Agencies to fulfill their responsibilities under section 4262 of ERISA.

This information collection is necessary for PBGC to properly administer the SFA program. PBGC uses the information it receives in a plan's lock-in application to record the filing date for the plan's initial application for SFA.

PBGC estimates an average per plan hour burden of 1 hour of fund office time and an average cost burden of \$800 in contractor costs. These are estimates and the actual time and cost per plan will vary depending on the circumstances of a given filing and the size of the plan.

This collection of information has been approved by the Office of Management and Budget (OMB) under control number 1212-0074 (expires 05/31/2027). Under the Paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

LOCK-IN APPLICATION

Part II. Eligibility

The plan is eligible* for SFA because it meets the criteria for eligibility under (check all that apply):

☒	§ 4262.3(a)(1) of PBGC's SFA regulation
☐	§ 4262.3(a)(2) of PBGC's SFA regulation
☐	§ 4262.3(a)(3) of PBGC's SFA regulation**
☐	§ 4262.3(a)(4) of PBGC's SFA regulation

* PBGC will not verify a plan's assessment of its eligibility for SFA until it receives the plan's revised application. If PBGC determines that the plan was not eligible on the date when the lock-in application was filed (based on information available at the time the plan filed its lock-in application), then the lock-in application will not establish the plan's base data.

**For information on how to determine eligibility under this provision, see Section D, Item (3)c of the general instructions.

Part III. Certification

This part must be signed and dated by an authorized trustee who is a current member of the board of trustees.

Under penalty of perjury under the laws of the United States of America, I declare that I am an authorized trustee who is a current member of the board of trustees of the: (insert plan name)

UFCW Local 2013 Pension Fund

and that I have examined this application, and, to the best of my knowledge and belief, the application contains all the relevant facts relating to the application; all statements of fact contained in the application are true, correct, and not misleading because of omission of any material fact.

Signed

Date

LOUIS MARK CAROTENUTO

President

Printed name of signatory

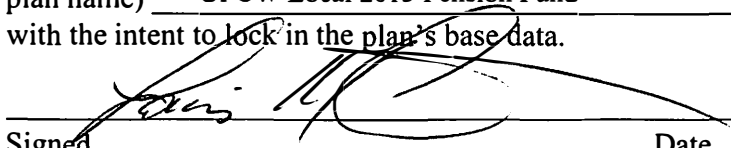
Title

LOCK-IN APPLICATION

Part IV. Statement of Intent

The part must be signed and dated by: (1) a trustee who is a current member of the board of trustees and authorized to sign on behalf of the board of trustees, or (2) another authorized representative of the plan sponsor. Part III and Part IV may but need not be signed by the same individual.

By submitting this lock-in application, I am submitting an initial application for SFA for: (insert plan name) UFCW Local 2013 Pension Fund,
with the intent to lock in the plan's base data.

Signed  Date 6/24/25
LOUIS MARK CAROTENUTO President
Printed name of signatory Title

PAPERWORK REDUCTION ACT NOTICE

This section provides information on the time and cost estimates for preparing and filing the lock-in application. If you have any comments concerning the accuracy of these estimates or suggestions for making it simpler to submit the information, please send your comments to the Pension Benefit Guaranty Corporation, Office of the General Counsel, 445 12th Street, SW, Washington, DC 20024-2101.

Information filed with PBGC in an application for special financial assistance (SFA) is confidential only to the extent provided under the Privacy Act. PBGC may, in its sole discretion, post an application for SFA and any documents and information filed for the application on its website at www.pbgc.gov, or otherwise publicly disclose the application, documents, and information, except information that is confidential under the Privacy Act.

PBGC will share with the U.S. Department of Labor and the Treasury Department (collectively, the Agencies) a plan's application, including any documents and information filed with PBGC, to enable the Agencies to fulfill their responsibilities under section 4262 of ERISA.

This information collection is necessary for PBGC to properly administer the SFA program. PBGC uses the information it receives in a plan's lock-in application to record the filing date for the plan's initial application for SFA.

PBGC estimates an average per plan hour burden of 1 hour of fund office time and an average cost burden of \$800 in contractor costs. These are estimates and the actual time and cost per plan will vary depending on the circumstances of a given filing and the size of the plan.

This collection of information has been approved by the Office of Management and Budget (OMB) under control number 1212-0074 (expires 05/31/2027). Under the Paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.