

# LOCK-IN APPLICATION

## Part I. Plan Information

Buffalo Carpenters Pension Fund

Plan name

Actuary

Keith L Nichols

Role of filer

Name of filer

6 PPG Place, Suite 200

keith.nichols@usi.com

Street address of filer

Email address of filer

Pittsburgh, PA 15222

412-851-5272

City, State, ZIP Code of filer

Telephone number of filer

1 6 0 8 4 7 2 8 0

EIN

0 0 1

PN

Trustees of Buffalo Carpenters Pension Fund

Plan sponsor name

1159 Maryvale Drive, Suite 20

kpalmer@carpentersfund.org

Street address of plan sponsor

Email address of plan sponsor

Cheektowaga, NY 14225

716-839-7132

City, State, ZIP Code of plan sponsor

Telephone number of plan sponsor

Jonathan Johnsen

Plan sponsor's authorized representative's name (if any;  
if none, then leave these spaces blank)

1103 Delaware Ave

jjohnsen@cpjglaborlaw.com

Street address of authorized representative

Email address of authorized representative

Buffalo, NY 14202

716-854-0007

City, State, ZIP Code of authorized representative

Telephone number of authorized  
representative

☐

Check this box if this filing is a revised lock-in  
application. (If not, leave the box unchecked.)

## LOCK-IN APPLICATION

### Part IV. Statement of Intent

The part must be signed and dated by: (1) a trustee who is a current member of the board of trustees and authorized to sign on behalf of the board of trustees, or (2) another authorized representative of the plan sponsor. Part III and Part IV may but need not be signed by the same individual.

By submitting this lock-in application, I am submitting an initial application for SFA for: (insert plan name) Buffalo Carpenters Pension Fund,  
with the intent to lock in the plan's base data.

<u>Chris L Austin</u>	<u>10-6-2025</u>
Signed	Date
<u>Christopher L Austin</u>	<u>Trustee</u>
Printed name of signatory	Title

### PAPERWORK REDUCTION ACT NOTICE

This section provides information on the time and cost estimates for preparing and filing the lock-in application. If you have any comments concerning the accuracy of these estimates or suggestions for making it simpler to submit the information, please send your comments to the Pension Benefit Guaranty Corporation, Office of the General Counsel, 445 12th Street, SW, Washington, DC 20024-2101.

Information filed with PBGC in an application for special financial assistance (SFA) is confidential only to the extent provided under the Privacy Act. PBGC may, in its sole discretion, post an application for SFA and any documents and information filed for the application on its website at [www.pbgc.gov](http://www.pbgc.gov), or otherwise publicly disclose the application, documents, and information, except information that is confidential under the Privacy Act.

PBGC will share with the U.S. Department of Labor and the Treasury Department (collectively, the Agencies) a plan's application, including any documents and information filed with PBGC, to enable the Agencies to fulfill their responsibilities under section 4262 of ERISA.

This information collection is necessary for PBGC to properly administer the SFA program. PBGC uses the information it receives in a plan's lock-in application to record the filing date for the plan's initial application for SFA.

PBGC estimates an average per plan hour burden of 1 hour of fund office time and an average cost burden of \$800 in contractor costs. These are estimates and the actual time and cost per plan will vary depending on the circumstances of a given filing and the size of the plan.

This collection of information has been approved by the Office of Management and Budget (OMB) under control number 1212-0074 (expires 05/31/2027). Under the Paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

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### Part II. Eligibility

The plan is eligible\* for SFA because it meets the criteria for eligibility under (check all that apply):

<input checked="" type="checkbox"/>	§ 4262.3(a)(1) of PBGC's SFA regulation
<input type="checkbox"/>	§ 4262.3(a)(2) of PBGC's SFA regulation
<input type="checkbox"/>	§ 4262.3(a)(3) of PBGC's SFA regulation**
<input checked="" type="checkbox"/>	§ 4262.3(a)(4) of PBGC's SFA regulation

\* PBGC will not verify a plan's assessment of its eligibility for SFA until it receives the plan's revised application. If PBGC determines that the plan was not eligible on the date when the lock-in application was filed (based on information available at the time the plan filed its lock-in application), then the lock-in application will not establish the plan's base data.

\*\*For information on how to determine eligibility under this provision, see Section D, Item (3)c of the general instructions.

### Part III. Certification

This part must be signed and dated by an authorized trustee who is a current member of the board of trustees.

Under penalty of perjury under the laws of the United States of America, I declare that I am an authorized trustee who is a current member of the board of trustees of the: (insert plan name)  
Buffalo Carpenters Pension Fund

and that I have examined this application, and, to the best of my knowledge and belief, the application contains all the relevant facts relating to the application; all statements of fact contained in the application are true, correct, and not misleading because of omission of any material fact.



Signed

10-6-2025

Date

Christopher L Austin

Printed name of signatory

Trustee

Title