# **Screenshots**

PRGC .e-FIII	NG PORTAL	<u>Help</u>
Account Sign-Up	NG TONTAL	
First Name:  Last Name:  Company:  Title:  Work Address:  City:		
State: Province (if outside the USA): Country: Zip Code: Telephone: E-mail address: Confirm E-mail address: Secret Question Secret Answer:	- select a state - V  UNITED STATES OF AMERICA  (ex. 12345-1234)  (ex. 202-111-1111)  Ext.  (ex. sa@s.com)  - select a secret question - V	
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Help

Log In

## Welcome to PBGC's e-Filing Portal

You can use PBGC's e-Filing Portal to submit important information to PBGC including:

- 4010 Filings Annual filings required under section 4010 of ERISA
- 2. Reportable Events Filings required under section 4043 of ERISA (Forms 10, 10-Advance, and 200)
- Multiemployer Filings Filings required under certain multiemployer sections of ERISA (Notices of Termination, Insolvency, Insolvency Benefit Level, Combined Insolvency and Insolvency Benefit Level; Actuarial Valuation Information; Withdrawal Liability Information; Applications for Financial Assistance. <u>Please see the attached instructions</u>. Other Filings include Annual Funding Notice and Critical/Endangered Notices.)

Click <u>here</u> to access a user manual with step-by-step instructions on how to create and manage your e-Filing Portal account.

#### SECURITY NOTICE AND WARNING

This website is a U.S. Government system and the PBGC reserves the right to monitor it for performance, stability, and security. This site, and the information on it, is protected by federal laws, including, but not limited to, federal privacy laws and any activity inconsistent with the protections provided by federal law may lead to civil and criminal sanctions. By logging into e-Filing Portal, you acknowledge that you understand that you are bound by these provisions. If you do not, please close your browser or enter another URL to leave the site entirely.

## **Important Alerts**

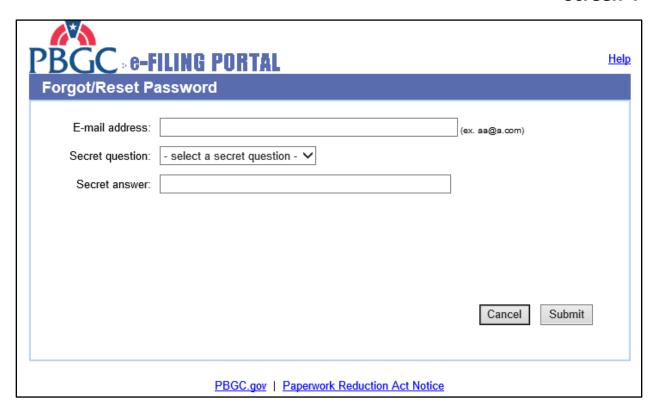
#### Notice: Disabling TLS 1.0

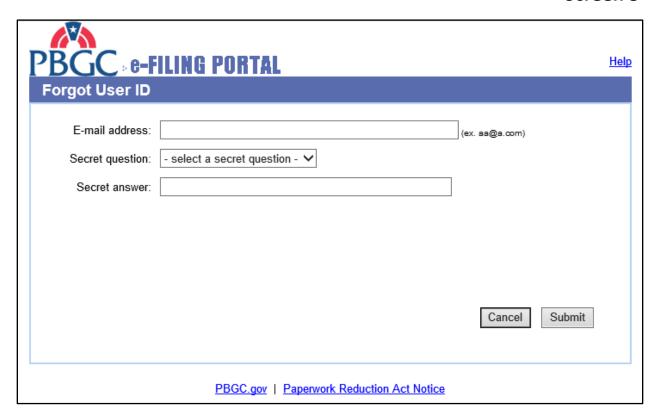
Starting on July 21, 2017, TLS 1.0 encryption over HTTPS will be disabled. Connections to and from E-Filing Portal will use TLS 1.1 or TLS 1.2 only. Any older browsers that do not support TLS 1.1 or higher (Microsoft Internet Explorer version 10 or lower, rare old versions of Chrome and Firefox on PC's with automatic updates disabled) will no longer work.

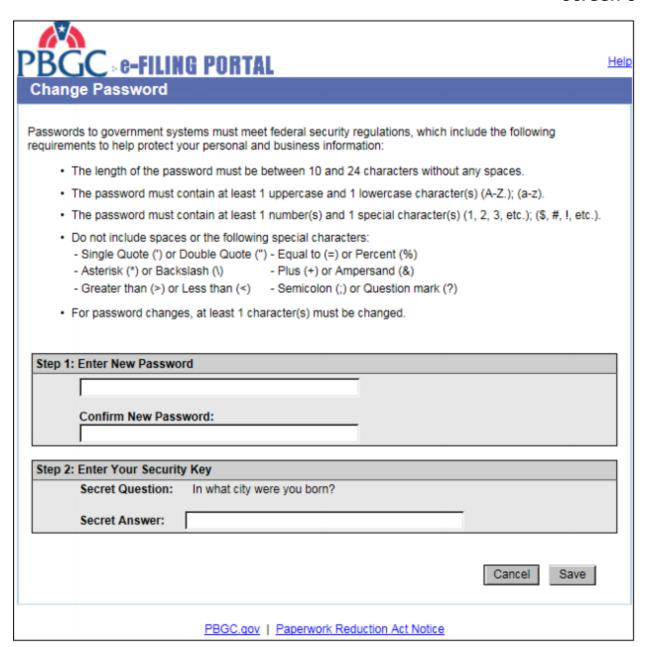
New to e-Filing Portal? Apply for an Account				
Already Hav	ve an Accour	nt? Log in.		
User ID:			Forgot your User ID?	
Password:			Forgot your password?	
		Login		

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PBGC • e-FILING PORTAL
Select New User ID and Password
Passwords to government systems must meet federal security regulations, which include the following requirements to help protect your personal and business information:
Step 1: Enter New User ID
Your User ID:
Can be any combination of letters and/or numbers;
Must be between 8 and 25 characters long;
Is not case sensitive;
Must be different from the temporary one you were provided; and
Can not be changed after you enter one.
Step 2: Enter New Password
Passwords to government systems must meet federal security regulations, which include the following requirements to help protect your personal and business information:
The length of the password must be between 10 and 24 characters without any spaces.
The password must contain at least 1 uppercase and 1 lowercase character(s) (A-Z.); (a-z).
<ul> <li>The password must contain at least 1 number(s) and 1 special character(s) (1, 2, 3, etc.); (\$, #, !, etc.).</li> </ul>
Do not include spaces or the following special characters: Single Quote (') or Double Quote (") - Equal to (=) or Percent (%) Asterisk (*) or Backslash (\) Greater than (>) or Less than (<) Semicolon (;) or Question mark (?)
<ul> <li>For password changes, at least 1 character(s) must be changed.</li> </ul>
Confirm New Password:
Step 3: Enter Your Security Key
Secret Question: In what city were you born?
Secret Answer:
Cancel
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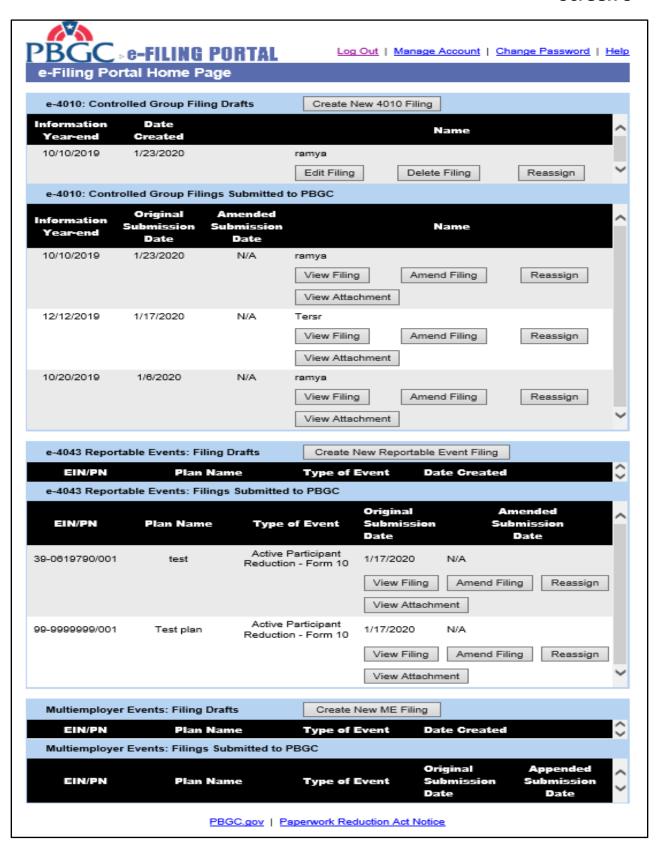


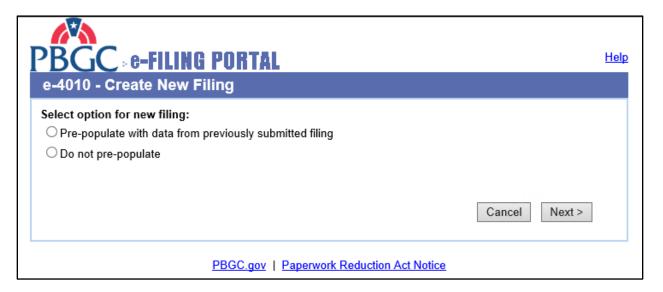


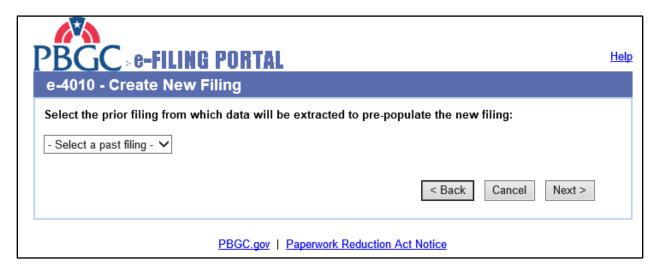


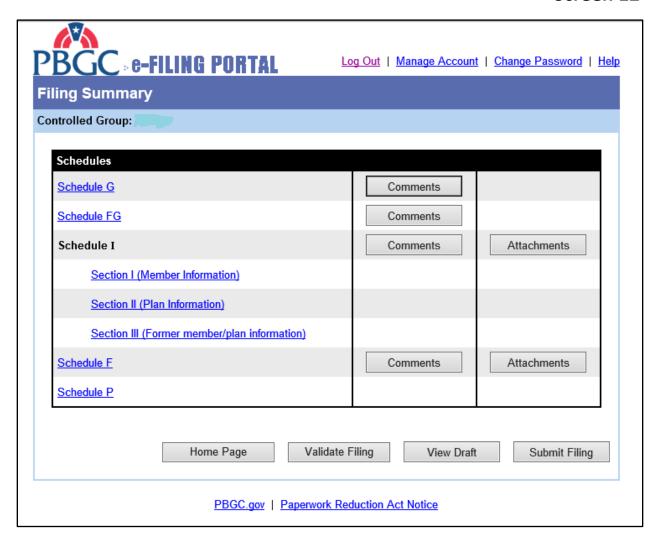
GC - e-FILING PORTAL	
ange Temporary Password	
words to government systems must meet federal security regulations, which include the following rements to help protect your personal and business information:  • The length of the password must be between 10 and 24 characters without any spaces.	
The password must contain at least 1 uppercase and 1 lowercase character(s) (A-Z.); (a-z).	
<ul> <li>The password must contain at least 1 number(s) and 1 special character(s) (1, 2, 3, etc.); (\$, #</li> </ul>	ŧ, !, etc
Do not include spaces or the following special characters:     Single Quote (') or Double Quote (") - Equal to (=) or Percent (%)     Asterisk (*) or Backslash (\)     Greater than (>) or Less than (<)     Semicolon (;) or Question mark (?)	
For password changes, at least 1 character(s) must be changed.	
For password changes, at least 1 character(s) must be changed.	
p 1: Enter New Password	
p 1: Enter New Password	
Confirm New Password:	
Confirm New Password:	
Confirm New Password:  Confirm New Password:  P 2: Enter Your Security Key  Secret Question: In what city were you born?	
2: Enter Your Security Key	
Confirm New Password:  Confirm New Password:  P 2: Enter Your Security Key  Secret Question: In what city were you born?	
Confirm New Password:  Confirm New Password:  P 2: Enter Your Security Key  Secret Question: In what city were you born?	Sav

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Manage Account	NG PUNIAL		1.00
Manage Account			
First Name:			
Last Name:			
Company:			
Title:			
Work Address:			
City:			
State:	- select a state - 🗸		
Province (If outside the USA):			
Country:	UNITED STATES OF AMERICA		
Zip Code:	(ex. 12345-1234)		
Telephone:	(ex. 202-111-1111) Ext.		
E-mail address:		(ex. aa@a.com)	
Confirm E-mail address:		(ex. aa@a.com)	
Secret question:	In what city were you born?		
Secret answer:			
		Cancel	Next >
		Cancer	IVEXL
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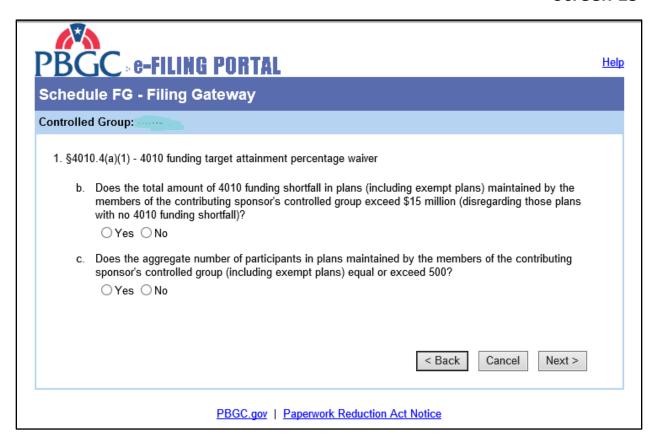


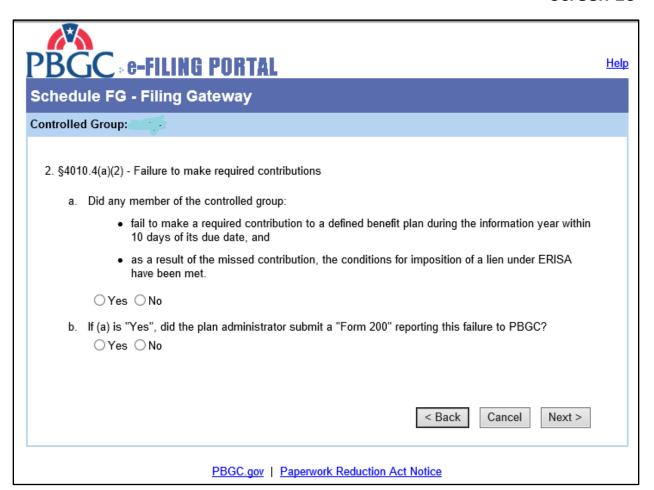


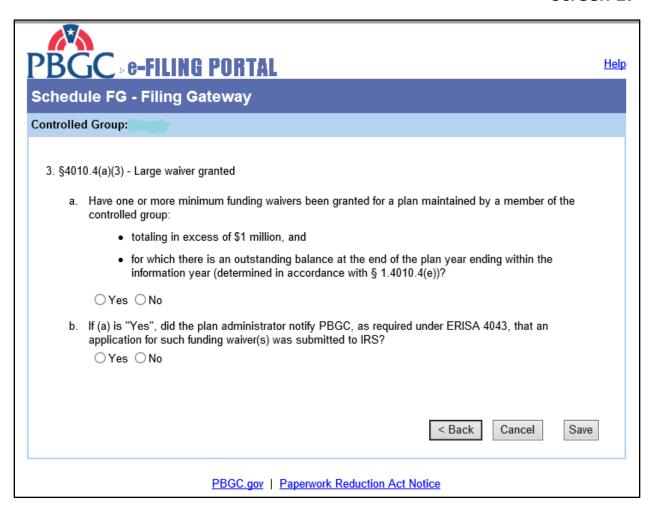


GC • e-FILING			
nedule G - General I	nformation		
Controlled Group Informa	tion		
Full name of ultimate parent company:			
Is the ultimate parent a fore	gn entity?		
○Yes ○No			
Date current information year ends:	(ex	. MM/DD/YYYY)	
Filing Contact			
Name, phone number and email address of person to contact with questions about this filing (leave blank if that person is the filing coordinator)		~	
General Filing Informatio	n		
Was a 4010 filing required la	st year?		
○Yes ○No			
Is a 4010 filing required this	year?		
○Yes ○No ○Help me	determine		
Check this box if \$4010	6(c) exception for previously	submitted materials at	onlies (see instructions)
	(a) ensephan p	-	pilot (555 interesting)
			Cancel Next >











Help

## e-4010 Filing is Required

Based on the information you have provided, an e-4010 Filing is required. Click on the button below to go to the e-4010 Filing Summary Page.

Continue to e-4010 Filing Summary Page

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### e-4010 Filing Not Required (Only Schedules G and FG)

Based on the information you have provided, an e-4010 filing is not required for the current information year. However, because a filing was required for the prior information year, you must submit Schedules G and FG that you have just completed to notify PBGC that a filing is not required for the current information year.

Click on the button below to display the Filing Summary page. From there, you can choose to submit these two schedules to PBGC or review the information entered into Schedules G and FG prior to submission.

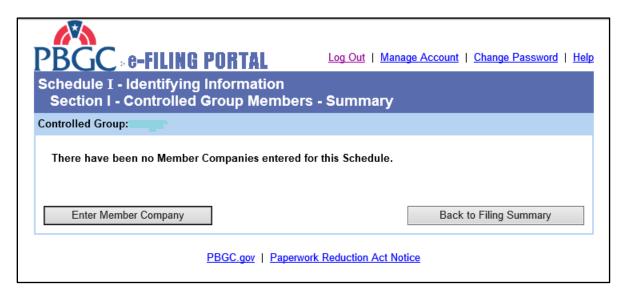
Warning: Because you need only submit Schedules G and FG, when you click on the "Go to Filing Summary" page, any information currently entered on other schedules (i.e., Schedules I, F, or P) will be deleted. If that is not your intention, select the "Back" button to change your prior responses.

Go to Filing Summary Page

Back

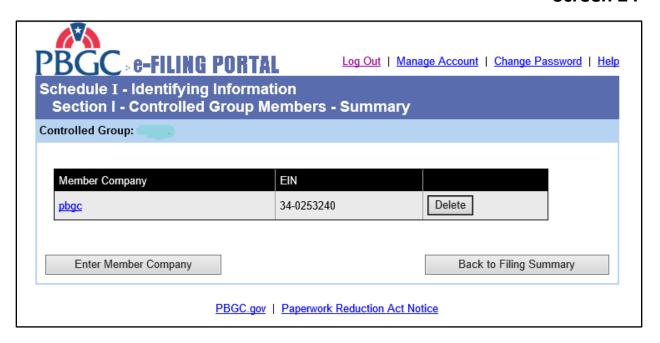
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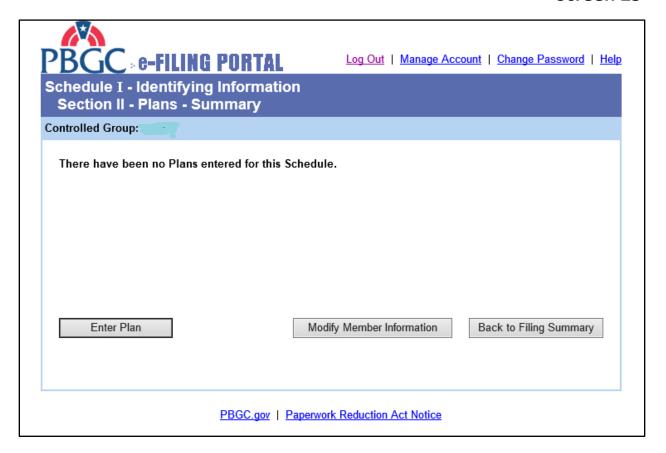




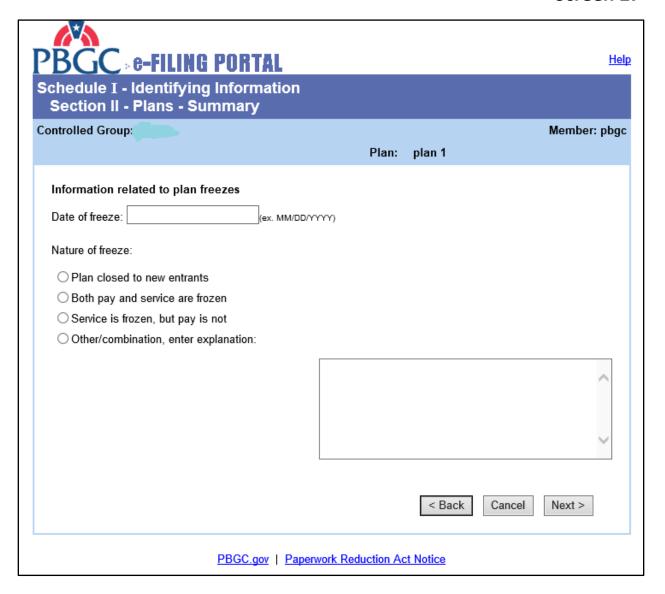


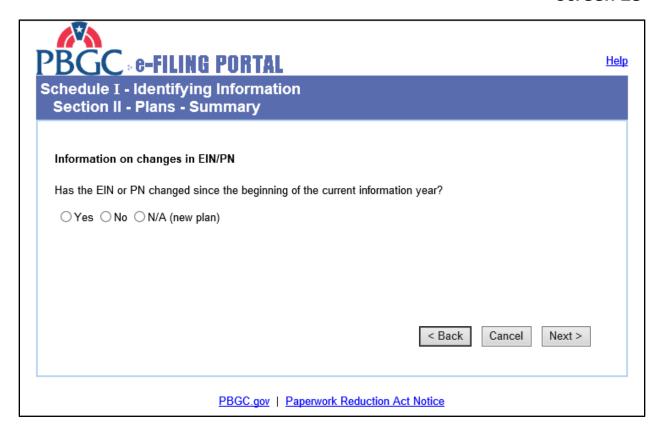
PBGC - e-FILING PORTAL				<u>Help</u>
Schedule I - Identifying Information Section I - Controlled Group Mem				
Information on new members				
Was this member a member of the controlled group before the current information year began?	immediately			
○Yes				
O No, member joined controlled group during information year		Date Joined:	(ex. MM/DD/YYYY)	
○ No, other				
	< Back Cancel	Save	Enter Next Member	
PBGC.gov   Pa	perwork Reduction Ad	ct Notice		



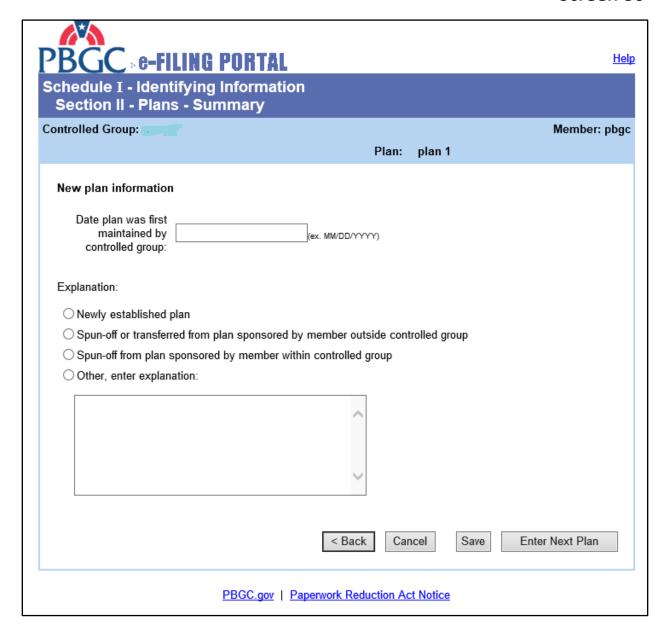




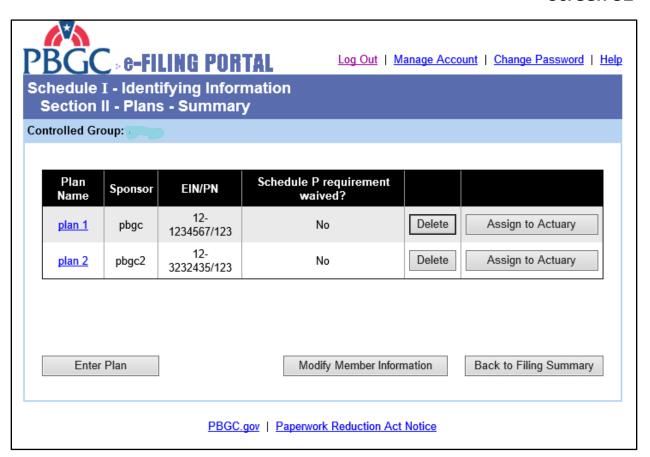




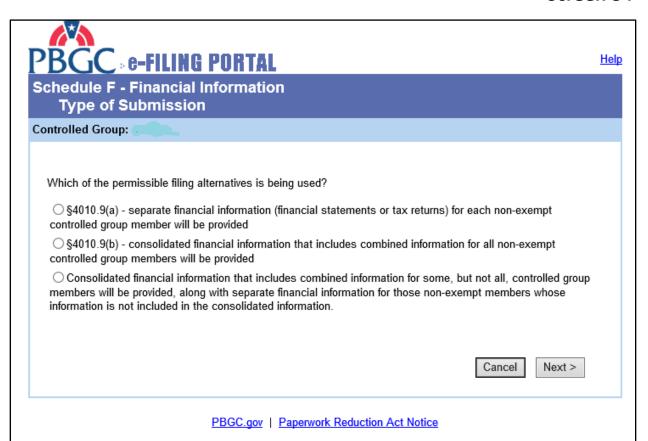
PBGC • e-FILING PORTAL  Schedule I - Identifying Information  Section II - Plans - Summary	Help
Information on changes in EIN/PN  Prior EIN: (ex. 11-1234567)	
Prior EIN:	
< Back   Cancel   Save   Enter Next Plan	
PBGC.gov   Paperwork Reduction Act Notice	

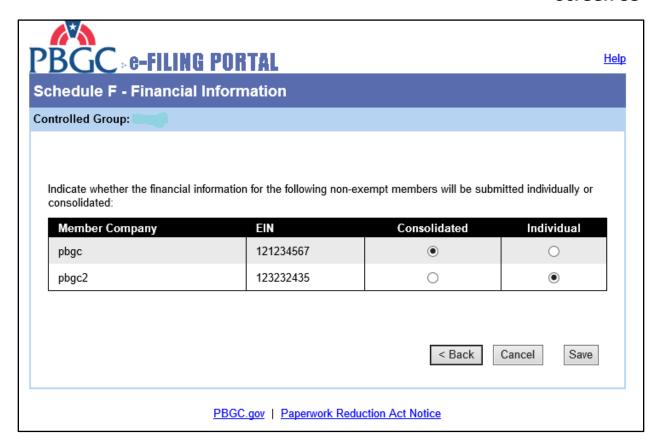


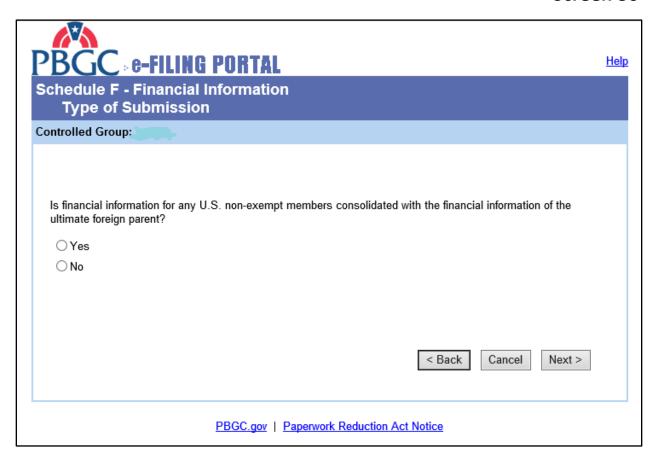


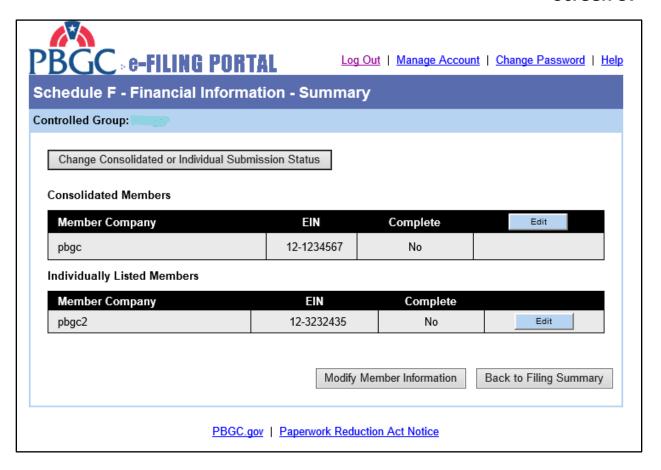


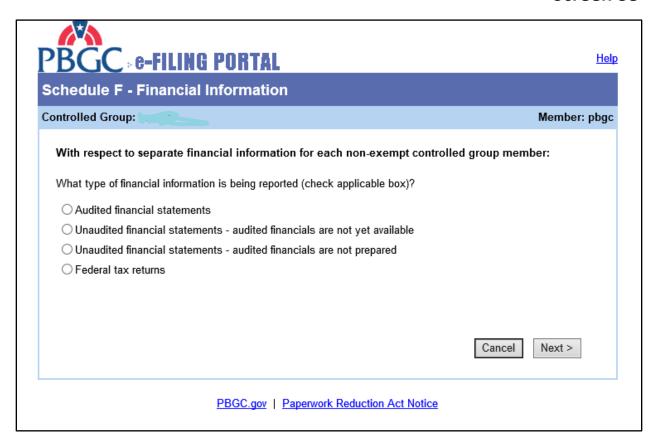


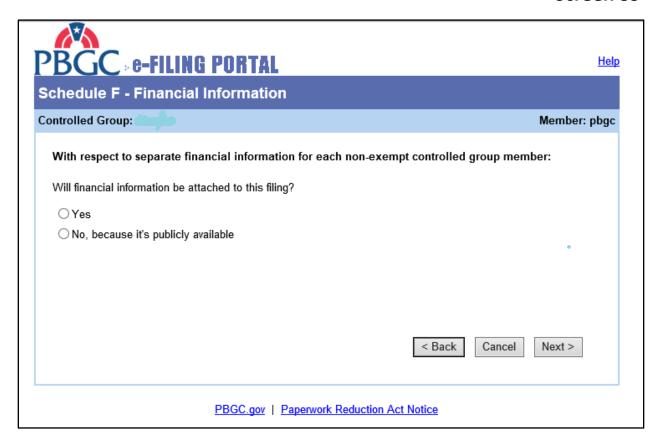


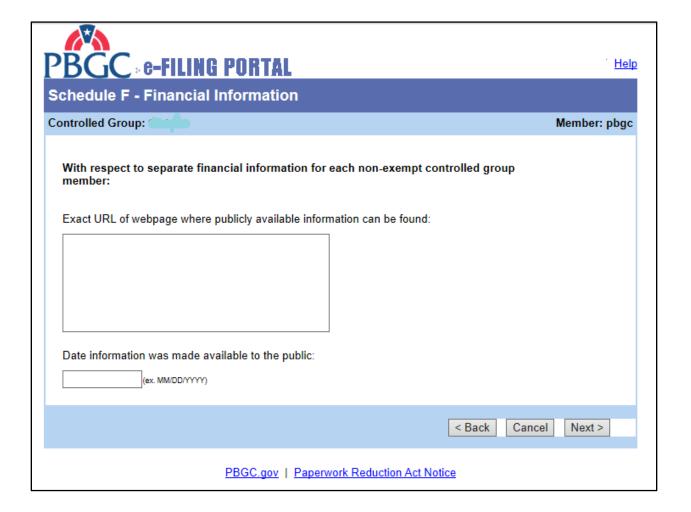


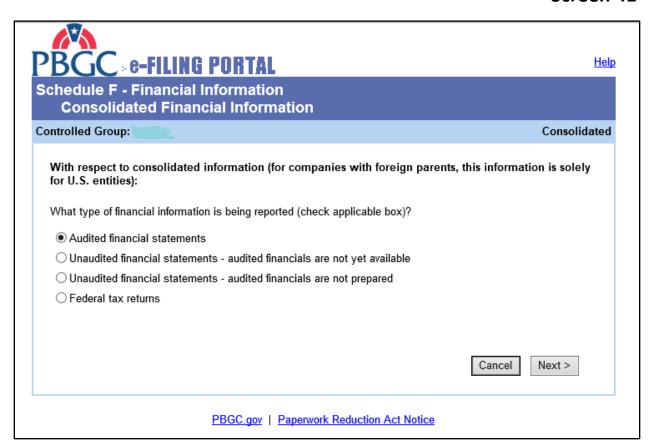


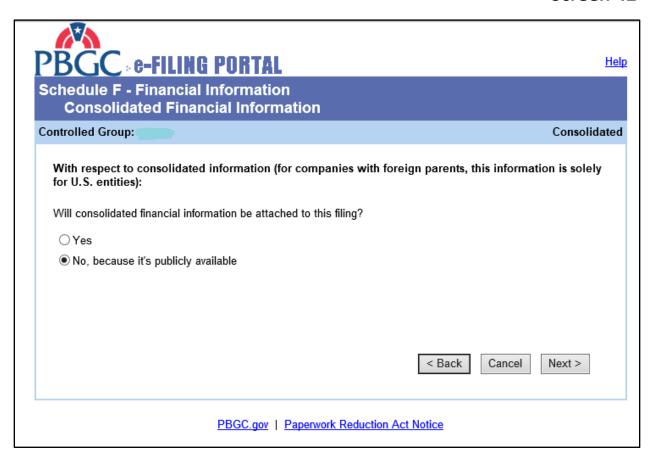


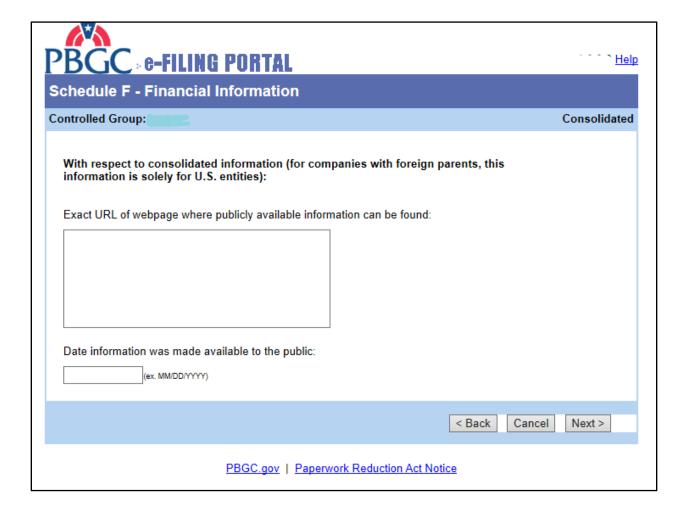


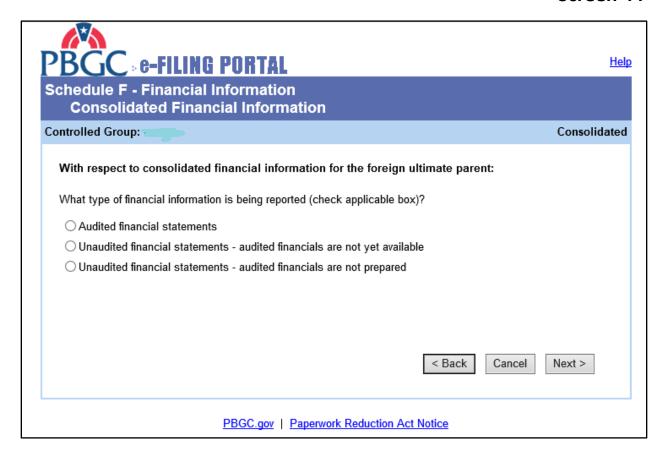


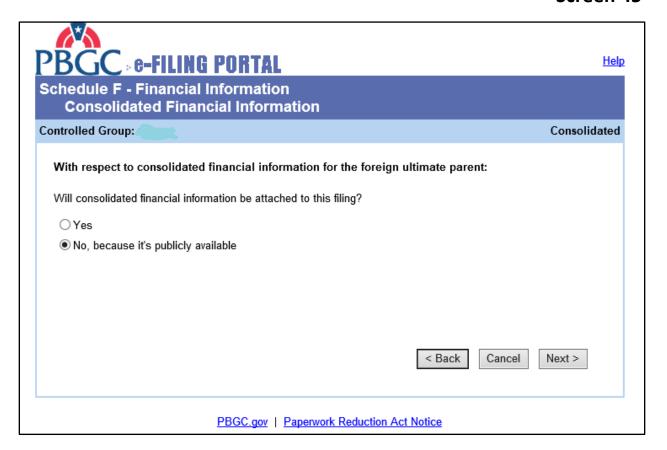


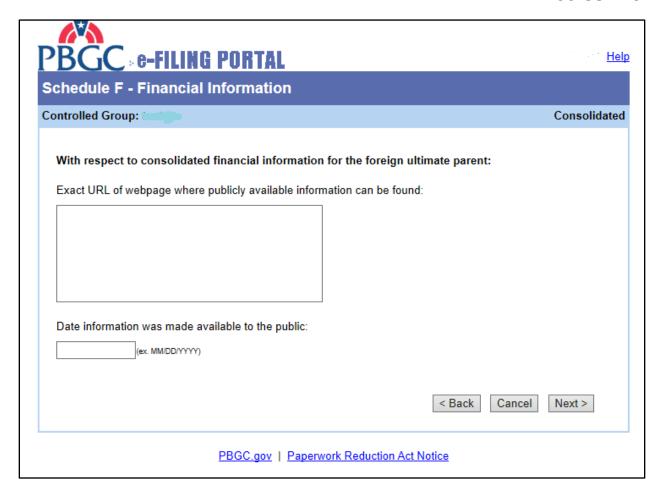


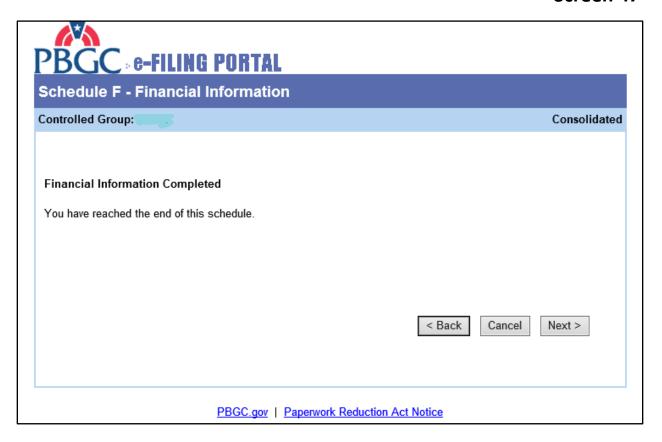


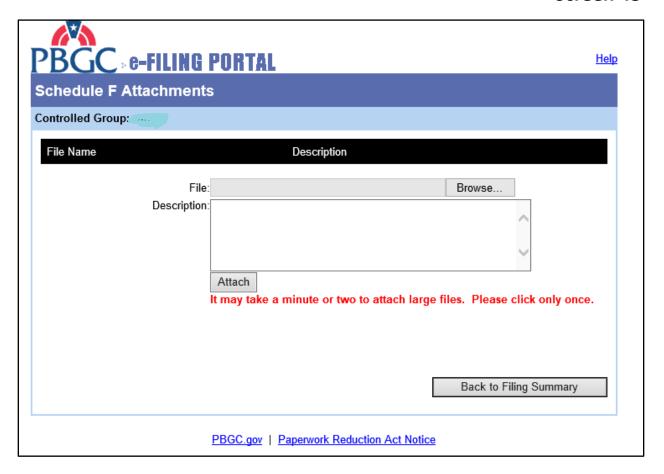




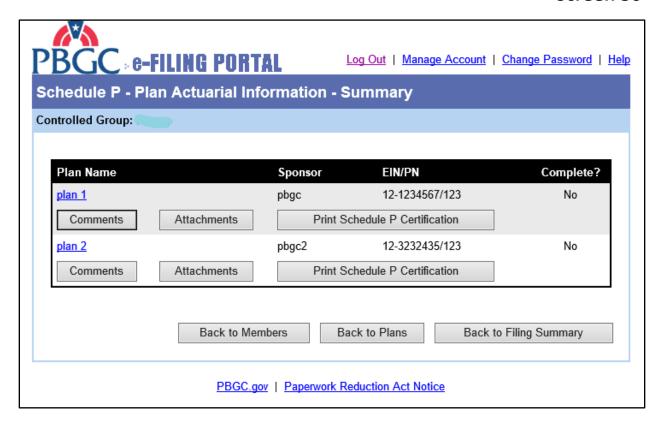










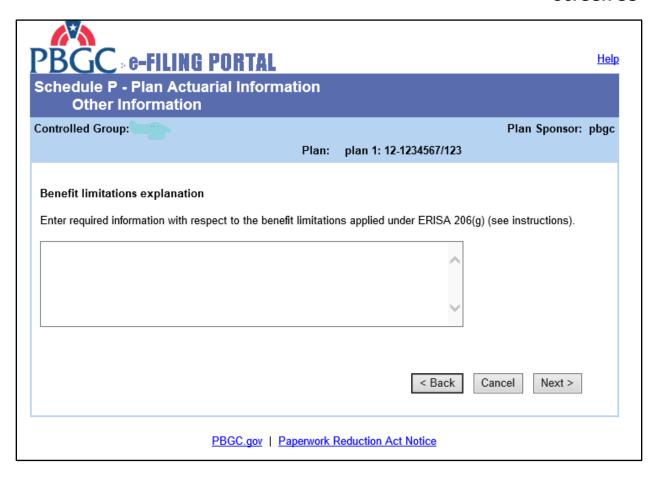


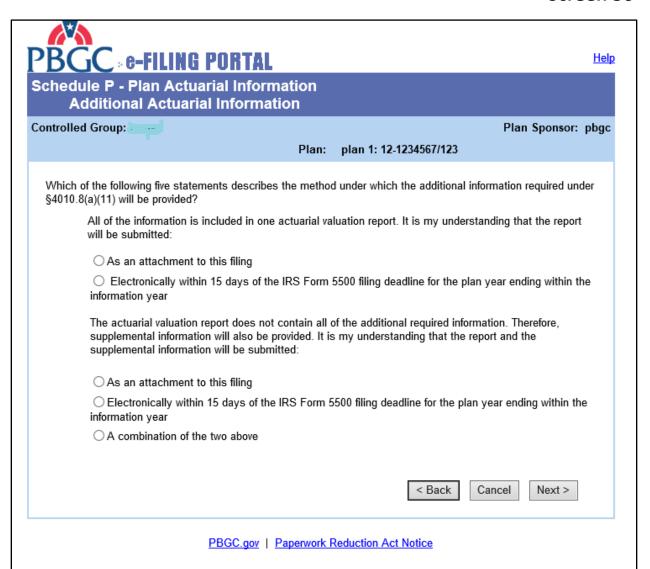
PBGC - e-FILING PO	DRTAL	<u>Help</u>		
Schedule P - Plan Actuaria Basic Plan Informatio	ıl Information			
Controlled Group:		Sponsor: pbgc		
	Plan: plan 1: 12-1234567/123			
Enrolled Actuary Information				
First Name:				
Last Name:				
EA Number:	(ex. 05-1111)			
Telephone:	(ex. 202-111-1111) Ext.			
E-mail:	(ex. aa@a.	.com)		
Enter the following information w	rith respect to the plan year ending within the information y	year		
Date plan year begins:	(ex. MM/DD/YYYY)			
Date plan year ends:	(ex. MM/DD/YYYY)			
Is this plan year a s	short plan year?			
○Yes ○No				
	Cancel	Next >		
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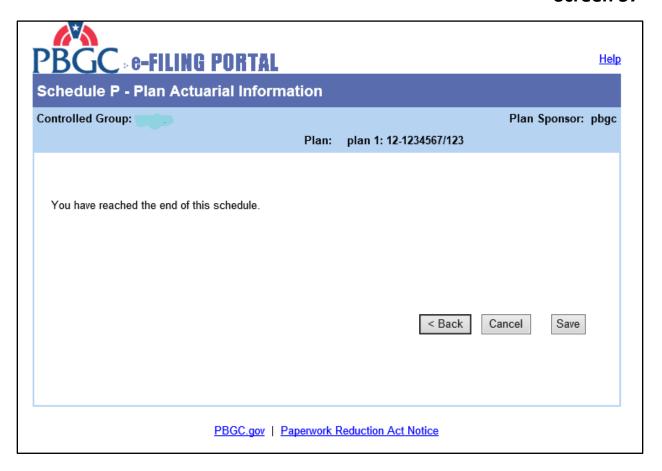
ntrolled Group:	Pla	an: plan 1: 1	2-1234567/123	n Sponsor: pbo
Participant Count and Benefit Liabilities				
Participant Status	Number of Parti	cipants	Benefit Liabili at plan year-e (Before reflecting expen	nd
Active			\$	
Terminated vested			\$	
Receiving benefits			\$	
Total		0		\$0
Benefit Liabilities after ref	lecting expense load			
Expense Load per §4044.52(d)			\$	
Total Benefit Liabilities*				\$0
* Determined using retirement	age, interest, mortality, vided in § 4010.8(d)(2).	expense load p	rovided in § 4044.51-57	Calculate Total

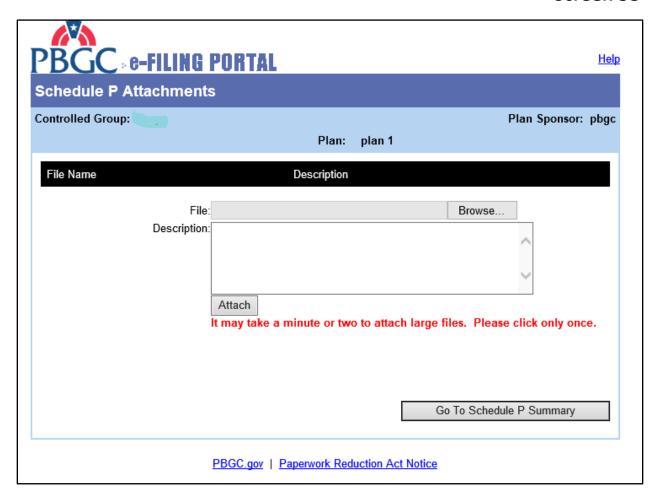
PBGC e-FILING PORTAL	Help				
Schedule P - Plan Actuarial Information Funded Status Information (§4044 basis)					
Controlled Group: Plan Sponsor Plan: plan 1: 12-1234567/123	: pbgc				
Census Data used to determine benefit liabilities:  O Projected from a date within the plan year ending within the information year O As of the end of the plan year ending within the information year or the beginning of the subsequent year Interest rate used to determine Benefit Liabilities					
Period 1:					
Fair market value of assets (excluding receivables) at plan-year end:					
< Back   Cancel   Next >	]				
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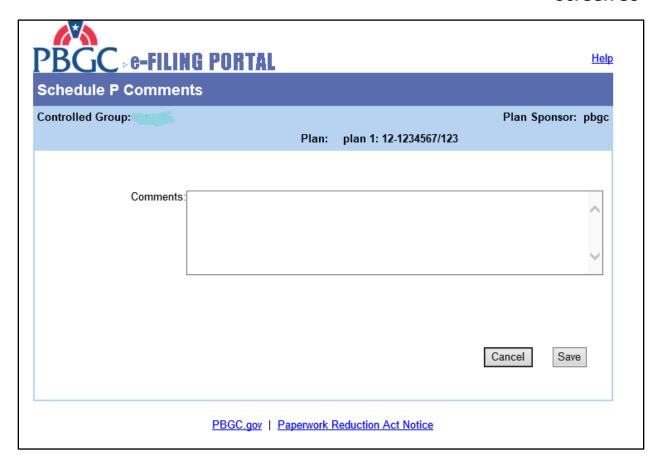
Schedule P - Plan Actuarial Information Other Information				
Controlled Group: Plan: plan 1: 12-123456	Plan Sponsor: pbgc 67/123			
The following items relate to the 10/10/2018 – 10/10/2020 plan year:				
The first item (at-risk funding target) may be left blank. The other items are required.				
Funding target (as of the valuation date) determined as if the plan has been in at-risk status for a consecutive period of at least 5 plan years:	\$			
4010 funding target attainment percentage (as of valuation date):	% (e.x. 92.21)			
Adjusted funding target attainment percentage (as of valuation date):	% (e.x. 92.21)			
Did any benefit limitations apply under ERISA 206(g) at any time during the plan year?	○Yes ○No			
Has one or more minimum funding waivers been granted totaling in excess of \$1 million for which there is an outstanding balance at the end of the plan year?	○Yes ○No			
The following item relates to the information year ending 10/10/2019:				
Has a statutory lien arisen during the information year as the result of missed contributions in excess of \$1 million (that were not made within 10 days of the due date)?	○Yes ○No			
<	Back Cancel Next >			

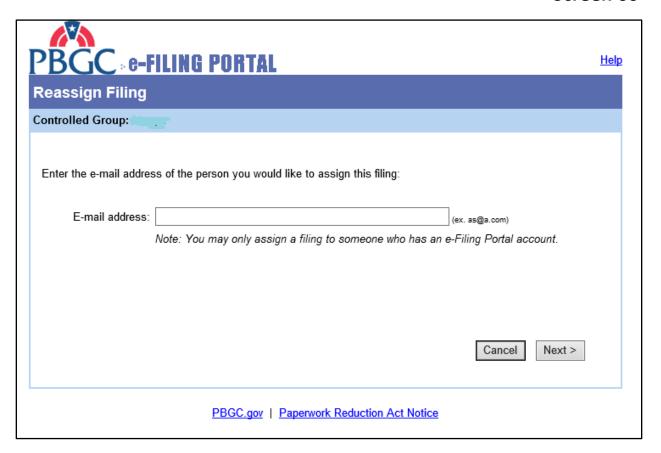
















# **Assign to Actuary**

Are you sure you wish to assign thella.supriya@pbgc.gov as the actuary to the Schedule P for plan 1?

If you select the "Assign" button below, thella.supriya@pbgc.gov will be allowed to view and edit this schedule P. Selecting the "Back to Plan Summary" button will cancel this transaction.

Back to Plan Summary

Assign

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Schedule G General Information	There are no validation errors in this section
Schedule FG Filing Gateway	There are no validation errors in this section
Schedule I 1 Identifying information for controlled group members	There are no validation errors in this section
Schedule I 2 Plan Information	There are no validation errors in this section
Schedule I 3 Prior member/plan information	There are no validation errors in this section
Schedule F Financial Information	There are no validation errors in this section
Schedule P Plan actuarial information	There are no validation errors in this section
Ready to Submit	Ready to submit

Back to Filing Summary

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