



Protecting America's Pensions

Pension Benefit Guaranty Corporation
EDSGSS
Privacy Impact Assessment

Version 1.0

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Executive Summary Report

I. INTRODUCTION

Federal agencies are required by law to ensure the protection of the personally identifiable information (PII) they collect, store, and transmit. The Pension Benefit Guaranty Corporation (PBGC) is responsible for ensuring proper protections of the information contained within its information systems, including PII. To that end, PBGC developed a Privacy Impact Assessment (PIA) to evaluate whether a system that contains PII meets legal privacy requirements.

II. PURPOSE AND SCOPE

Purpose: PBGC is responsible for ensuring the confidentiality, integrity, and availability of the information contained within the **Enterprise Database and Server General Support System** (EDSGSS). A PIA is used to evaluate privacy vulnerabilities and risks and their implications on EDSGSS.

The PIA provides a number of benefits to the Office of Information Technology (OIT); including enhancing policy decision-making and system design, anticipating the public's possible privacy concerns, and generating confidence that privacy objectives are addressed in the development and implementation of EDSGSS. The PIA Questionnaire provides a framework by which agencies can ensure that they have complied with all relevant privacy policies, regulations, and guidance, both internal and external to PBGC.

Scope: A Privacy Impact Assessment was conducted on the EDSGSS system. The EDSGSS system is PBGC owned and operated. The EDSGSS provides the platforms and infrastructure to support the interfaces between the end users and the major applications. The EDSGSS system is located at 1200 K Street NW, Washington, DC and Wilmington, DE, accessed by both PBGC and the public. EDSGSS is listed as a General Support System on the Information Systems Inventory Report, and its security needs are consistent with those of PBGC.

III. PIA APPROACH

A questionnaire was developed in accordance with the FIPS 199 - Standards for Security Categorization of Federal Information and Information Systems, Office of Management and Budget (OMB) requirements, The Privacy Impact Act of 1975, The National Institute of Standard and Technology (NIST) recommendations, and the Federal Enterprise Architecture Business Reference Model (BRM). The questionnaire was developed in order to identify any Personal Identifiable Information (PII).

The questionnaire was given to the Information System Owner (ISO) and Subject Matter Expert (SME) of the EDSGSS application for their response. An Information Security Analyst from the TechGuard Security, LLC and the Enterprise Information Security Office (EISO) staff met with the ISO and SME of the EDSGSS to discuss the questionnaire. Responses from the ISO and the SME of EDSGSS were obtained and used to fill in the final PIA and analysis.

IV. SYSTEM CHARACTERIZATION

The EDSGSS system is comprised of the following collection of resources: the UNIX, Linux servers; Oracle Enterprise Database; Oracle Application Suite; Java 2 Enterprise Edition (J2EE), Oracle eBusiness Suite. These systems allow the end users at PBGC to access multiple business systems as well as integrate custom applications and data sources. In addition, the framework allows for the implementation of a centralized management, security, and directory as configured and used with the various applications. This assists in managing and monitoring all of PBGC's distributed systems and multiple user communities with diverse needs. Remote access to the EDSGSS systems exists through the corporate remote access system.

V. PIA RESULTS

The PIA evaluation revealed that the EDSGSS system does not contain PII and only those who are authorized to use the application have access to it and the information contained therein. The users are utilizing the information for the sole purpose of performing their assigned duties. No discrepancies have been discovered.

VI. SUMMARY

EDSGSS does not contain PII. Security is consistent and the servers where the applications and data are stored are physically secured and password protected. Only staff authorized to use the application have access to it and the information contained therein. Staff utilizes the information for the sole purpose of performing their assigned duties. Further information can be requested by contracting PBGC's Disclosure Officer.