

2016 Risk Transfer Activity guideline

There are no schema changes for filing year 2016 and 2017. However, there are minor updates to the form, related to the risk transfer activity fields. The number of fields under “Lump Sum Windows” are consolidated to two fields, 18a(1) and 18a(2). Below is the guideline to map 18a(1) and 18a(2) to respective elements in the schema:

2016 and later Comprehensive Form Elements	
Form Elements for 2016 and above filings	Schema Change Description
2016 Comprehensive Form 18. Risk transfer activity (a) (1) – Lump sum windows	Schema nodes: Submission/Envelope/FilingData/FinalFilingData/RiskTransfer/LSOfferedParticipantsNotInPay
2016 Comprehensive Form 18. Risk transfer activity (a) (2) – Lump sum windows	Schema nodes: Submission/Envelope/FilingData/FinalFilingData/RiskTransfer/LSElectParticipantsNotInPay
	<p>Following elements in the schema can be ignored: Submission/Envelope/FilingData/FinalFilingData/RiskTransfer/LSOfferedParticipantsInPay Submission/Envelope/FilingData/FinalFilingData/RiskTransfer/LSElectParticipantsInPay</p> <p>There is no change in mapping for all other existing elements.</p>