

## 2013 Schema Updates

My PAA schema has been updated to accommodate 2013 estimated and comprehensive filing changes. Since a single schema exists for all types of Single and Multiemployer filings (Comprehensive, Estimated and Final filings) for plan years 2004 through 2013, **all new nodes are optional**. Please note that as before, Submission.XSD imports PermiumFiling.XSD

| 2013 Form Elements<br>(2013 and later Comprehensive and Estimated Filings)  | Schema Change Description  |
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| 2013 Comprehensive Form <ul style="list-style-type: none"> <li>• 1(a)-Plan sponsor name</li> </ul> 2013 Estimated Form <ul style="list-style-type: none"> <li>• 1 -Plan sponsor name</li> </ul>                                       | Schema node: Submission/Envelope/PlanData/Sponsor/Name<br><br>My PAA Schema shall accept the plan sponsor's name for creating <b>all</b> types of comprehensive and estimated 2013 and later filing(s).<br><br><b>Node for the Sponsor Name is an existing node. Note that sponsor address fields are not required for 2013 and later comprehensive and estimated filing(s).</b>   |
| 2013 Comprehensive Form<br>And<br>2013 Estimated Form<br><br>2h – Contact Person <ul style="list-style-type: none"> <li>• 2h(1)-Name</li> <li>• 2h(2)-e-mail address</li> <li>• 2h(3)-Phone number and ext</li> </ul>                 | Schema nodes:<br>Submission/Envelope/PlanData/PlanContact/Name<br>Submission/Envelope/PlanData/PlanContact/EmailAddress<br>Submission/Envelope/PlanData/PlanContact/PhoneNumber<br>Submission/Envelope/PlanData/PlanContact/PhoneNumberExtension<br><br><b>Nodes for 2h(1), 2h(2) and 2h(3) are existing nodes in the schema and mapped to 4 – Plan Contact in 2012 comprehensive form. Contact Person information should be provided for 2013 Estimated filings</b>                       |
| 2013 Comprehensive Form<br>And<br>2013 Estimated Form<br><br>3 – Additional plan contact (optional) <ul style="list-style-type: none"> <li>• 3(1)-Name</li> <li>• 3(2)-e-mail address</li> <li>• 3(3)-Phone number and ext</li> </ul> | Schema nodes:<br>Submission/Envelope/PlanData/AdditionalPlanContact/Name<br>Submission/Envelope/PlanData/AdditionalPlanContact/EmailAddress<br>Submission/Envelope/PlanData/AdditionalPlanContact/PhoneNumber<br>Submission/Envelope/PlanData/AdditionalPlanContact/PhoneNumberExtension<br><br><b>Nodes for 3(1), 3(2) and 3(2) are new nodes for 2013 filings.</b><br><br>My PAA Schema shall accept Additional Plan Contact information (Name, Phone, Phone ext and e-mail address) for |

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|  | <p>creating all types of 2013 and later Comprehensive (Single and Multiemployer) and Estimated (Single and Multiemployer) filing(s).</p>   |
| <p>2013 Comprehensive Form</p> <ul style="list-style-type: none"> <li>• 4f- Plan effective date</li> </ul> <p>2013 Estimated Form</p> <ul style="list-style-type: none"> <li>• 4e-Plan effective date</li> </ul> | <p>Schema Node: Submission/Envelope/PlanData/AllPlanEffectiveDate</p> <p>My PAA Schema shall accept Plan Effective Date for creating all types of 2013 and later Comprehensive (Single and Multiemployer) and Estimated (Single and Multiemployer) filing(s). The Format will be date format.</p> <p><b>AllPlanEffectiveDate is a new node for 2013 filings.</b></p> <p><b>Please note that Plan Effective Date that existed in 2012 Comprehensive form at 14 – New and newly covered plans - plan effective date __/__/__ is not part of the 2013 Comprehensive form. Therefore the schema node associated with it (PlanEffectiveDate) is not needed for 2013 filings and should not be used for 2013 Comprehensive 4f or estimated 4e data.</b></p> <p><b>Submission/Envelope/FilingData/FinalFilingData/FirstPlanFiling/Yes/PlanEffectiveDate</b></p> |
| <p>2013 Comprehensive Form</p> <ul style="list-style-type: none"> <li>• 6b- Revocation checkbox</li> </ul>   | <p>Schema Node: Submission/Envelope/PlanData/RevokeALTPremFundTarget</p> <p>My PAA Schema shall accept Alternative Premium Funding Target Election or Revocation for Single employer comprehensive VRP 2013 and later Filing(s).</p> <p><b>RevokeALTPremFundTarget is a new node for 2013 filings and both election and revocation nodes cannot be in one filing.</b></p>  |
| <p>2013 Comprehensive Form</p> <ul style="list-style-type: none"> <li>• 7d(1)</li> <li>• 7d(2)</li> <li>• 7d(3)</li> <li>• 7d(4)</li> <li>(7d4=7d1+7d2+7d3)</li> </ul>   | <p>Schema Nodes:</p> <p>7d1 - Attributable to active participants<br/>Submission/Envelope/FilingData/FinalFilingData/FilingPlanType/SingleEmployer/VariableRatePremiumData/NonExempt/ ComprehensiveVRP/ActiveParticipants</p> <p>7d2 - Attributable to terminated vested participants<br/>Submission/Envelope/FilingData/FinalFilingData/FilingPlanType/SingleEmployer/VariableRatePremiumData/NonExempt/ ComprehensiveVRP/TerminatedVestParticipants</p>  |

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|   | <p>7d3 - Attributable to retirees and beneficiaries receiving payment<br/>Submission/Envelope/FilingData/FinalFilingData/FilingPlanType/SingleEmployer/VariableRatePremiumData/NonExempt/ComprehensiveVRP/RetireesBeneficiariesRecvPayments</p> <p>7d4 - Premium funding target<br/>Submission/Envelope/FilingData/FinalFilingData/FilingPlanType/SingleEmployer/VariableRatePremiumData/NonExempt/ComprehensiveVRP/PremFundTargAsOfUVBDate</p> <p><b>Nodes for 7d1, 7d2, and 7d3 are new for 2013 filings. Total Premium funding target (7d4) field shall accept the total of the above 3 fields and is an existing node in the schema for 2012 and prior filings.</b></p> <p>The format for all of the above fields will be decimal type</p>   |
| <p>2013 Comprehensive Form</p> <ul style="list-style-type: none"> <li>7g – Uncapped variable-rate ( UVB (7f) x 0.009)</li> </ul>  | <p>Schema Node:<br/>Submission/Envelope/FilingData/FinalFilingData/FilingPlanType/SingleEmployer/VariableRatePremiumData/NonExempt/ClaimSmallEmpVRPCap/VRPBeforeCap</p> <p>My PAA Schema shall accept the Uncapped variable-rate field (7g) for creating Single employer comprehensive VRP 2013 and later filings</p> <p><b>VRPBeforeCap is an existing node</b></p>   |
| <p>2013 Comprehensive Form</p> <ul style="list-style-type: none"> <li>7h1 - MAP-21 cap (\$400xparticipant count)</li> <li>7h2 - Small employer cap (\$5 multiply by participant count squared)</li> <li>7h3- Maximum variable-rate premium- If the plan qualifies for the small employer cap, the lesser of item 7h(1) and 7h(2) . Otherwise, item 7h(1)</li> </ul> | <p>Schema Nodes:</p> <p>7h1 - MAP-21 cap (\$400 x item 5b(2) )<br/>Submission/Envelope/FilingData/FinalFilingData/FilingPlanType/SingleEmployer/VariableRatePremiumData/NonExempt/ClaimSmallEmpVRPCap/UniversalVRPCap</p> <p>7h2 - Small employer cap, if applicable (\$5 x item 5b(2) x item 5b(2)) – Omit this item if plan is not eligible for this cap<br/>Submission/Envelope/FilingData/FinalFilingData/FilingPlanType/SingleEmployer/VariableRatePremiumData/NonExempt/ClaimSmallEmpVRPCap/SmallEmpCap</p> <p>7h3- Maximum variable-rate premium - If the plan qualifies for the small employer cap, the lesser of item 7h(1) and 7h(2) . Otherwise, item 7h(1)<br/>Submission/Envelope/FilingData/FinalFilingData/FilingPlanType/SingleEmployer/VariableRatePremiumData/NonExempt/ClaimSmallEmpVRPCap/MaximumVRP</p> |

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|   | <p><b>Node for 7h1-MAP-21cap (UniversalVRPCap) is new for 2013 filings. Nodes for 7h2 and 7h3 are existing.</b></p> <p>The format for the above fields will be decimal type</p>   |
| <p>2013 Comprehensive Form</p> <ul style="list-style-type: none"> <li>7i - Variable-rate premium<br/>If the plan qualifies for the small employer cap and item 7g (uvbX.009) was omitted, item 7h (3). Otherwise, the lesser of item 7g and item 7h (3).</li> </ul>                         | <p>Schema Node:<br/>Submission/Envelope/FilingData/FinalFilingData/FilingPlanType/SingleEmployer/VariableRatePremiumData/NonExempt/VariableRatePremiumPortion</p> <p>My PAA Schema shall accept Variable-rate premium (7i) for creating Single Employer comprehensive VRP 2013 and later filings.</p> <p><b>VariableRatePremiumPortion is an existing node.</b></p>   |
| <p>2013 Comprehensive Form</p> <ul style="list-style-type: none"> <li>10a - Payments made previously for this premium payment year</li> <li>10b- Outstanding credit from the plan year immediately preceding the premium payment year</li> <li>10c - Total (item 10a + item 10b)</li> </ul> | <p>Schema Nodes:</p> <p>10a - Payments made previously for this premium payment year<br/>Submission/Envelope/FilingData/FinalFilingData/PremiumData/AmountPaidwForm1ES</p> <p>10b- Outstanding credit from the plan year immediately preceding the premium payment year<br/>Submission/Envelope/FilingData/FinalFilingData/PremiumData/OtherCredits</p> <p>10c - Total (item 10a + item 10b)<br/>Submission/Envelope/FinalFilingData/PremiumData/PremiumCredits</p> <p>My PAA Schema shall accept the below two 'Premium credit ' fields for Single employer comprehensive VRP 2013 and later Filing(s).</p> <ol style="list-style-type: none"> <li>1) Payments made previously for this premium payment year (10a)</li> <li>2) Outstanding credit from the plan year immediately preceding the premium payment year (10b)</li> </ol> <p><b>Total (10c).</b> This field shall accept the total of the above 2 fields</p> <p><b>Nodes for 10a, 10b and 10c are all are existing nodes.</b></p> |

